

3FTHERA.007A

PATENT

007A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Keith Myers, et al.

) Group Art Unit: 3738  
)

App. No. : 09/772,526

)

Filed : January 29, 2001

)

For : PROSTHETIC HEART VALVE

)

Examiner : Thomas C. Barrett

)

**RECEIVED**

APR 30 2003

JONES DAY DEAVIS & POGUE  
PATENT PROSECUTION DEPT.

REVOCATION OF POWER OF ATTORNEY and POWER OF ATTORNEY

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of JONES DAY, 555 West Fifth Street, Suite 4600, Los Angeles, CA 90013-1025, **Customer No. 34026**, as its attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please use **Customer No. 34026** for all communications.

3F THERAPEUTICS, INC.

Dated: April 21, 2003

By:   
Walter Cuevas, CEO

Address: 20412 James Bay Circle  
Lake Forest, CA 92630

Express Mail No. EV 283744319 US  
Attorney Docket 816020-100070US



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
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Washington, D.C. 20231

NOVEMBER 06, 2001

PTAS  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
GLEN L. NUTTALL  
SIXTEENTH FLOOR  
620 NEWPORT CENTER DRIVE  
NEWPORT BEACH, CA 92660



\*101838131A\*

No NEW Dates Docketed  
Attorney Responsible  
Initial                      *H/S*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/04/2001

REEL/FRAME: 012129/0976  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
MYERS, KEITH

DOC DATE: 08/24/2001

ASSIGNOR:  
NGUYEN, CHRISTINE

DOC DATE: 08/24/2001

ASSIGNEE:  
3F THERAPEUTICS, INC.  
20412 JAMES BAY CIRCLE  
LAKE FOREST, CALIFORNIA 92630

SERIAL NUMBER: 09772526  
PATENT NUMBER:

FILING DATE: 01/29/2001  
ISSUE DATE:

TONYA LEE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Client Code: 3F THERA.007A

09-07-2001



Express Mail No. EV 283744319 US  
Attorney Docket 816020-100070US

9-4-2001

101838131

TO THE ASSISTANT COMMISSIONER FOR PATENTS, U.S. PATENT AND TRADEMARK OFFICE, Washington, DC 20503-0001. Original documents or copy thereof.

1. Name of conveying party(ies): (If multiple assignors, list numerically)

1) Keith Myers 2) Christine Nguyen

Additional name(s) of conveying party(ies) attached?

( ) Yes (X) No

2. Name and address of receiving party(ies):

Name: 3F THERAPEUTICS, INC.

Internal Address:

Street Address: 20412 James Bay Circle

City: Lake Forest State: CA ZIP: 92630

Additional name(s) of receiving party(ies) attached?

( ) Yes (X) No

3. Nature of conveyance:

(X) Assignment

( ) Merger

( ) Security Agreement

( ) Change of Name

( ) Other:

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above)

1) August 24, 2001 2) August 24, 2001

4. Application number(s) or Patent number(s):

( ) Application(s) filed herewith Execution Date(s):

(X) Patent Application No.: 09/772,526

Filing Date: January 29, 2001

( ) Patent No.:

Issue Date:

Additional numbers attached? ( ) Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Glen L. Nuttall

KNOBBE, MARTENS, OLSON & BEAR, LLP

Customer No. 20,995

Internal Address: Sixteenth Floor

Street Address: 620 Newport Center Drive

City: Newport Beach State: CA ZIP: 92660

Attorney's Docket No.: 3F THERA.007A

7. Total fee (37 CFR 1.21(h)): \$40

(X) Enclosed

( ) Authorized to be charged to deposit account

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and patents involved: 1

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Glen L. Nuttall

Name of Person Signing

Signature

Date

46,188

Registration No.

Total number of pages including cover sheet, attachments and document: 3

Mail documents to be recorded with required cover sheet information to:

09/06/2001 DBYRHE 00000078 09772526

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U.S. Patent and Trademark Office  
Attn: Assignment Division  
Crystal Gateway-4  
1213 Jefferson Davis Highway, Suite 320  
Arlington, VA 22202

Application No.: 09/772,526  
Filing Date: January 29, 2001

PATENT  
Client Code: 3FTHERA.007A  
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### ASSIGNMENT

WHEREAS, We, Keith Myers, a United States citizen, residing at Lake Forest, California, Christine Nguyen, a United States citizen, residing at Garden Grove, California, have invented certain new and useful improvements in a PROSTHETIC HEART VALVE for which we have filed an application for Letters Patent in the United States, Application Number 09/772,526 filed January 29, 2001;

AND WHEREAS, 3F THERAPEUTICS, INC. (hereinafter "ASSIGNEE"), a California Corporation, with its principal place of business at 20412 James Bay Circle, Lake Forest, CA 92630, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 24 day of August, 2001

  
Keith Myers


STATE OF CALIFORNIA }  
COUNTY OF ORANGE } ss.

On August 24, 2001, before me, Misti D. Fantozzi-Anduiza personally appeared Keith Myers personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



  
Notary Signature

Application No.: 09/772,526  
Filing Date: January 29, 2001

PATENT  
Client Code: 3FTHERA.007A  
Page 2

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 24 day of August, 2001.

Christine Nguyen  
Christine Nguyen

STATE OF CALIFORNIA }  
COUNTY OF ORANGE } ss.

On August 24, 2001, before me, Misti D. Fantozzi-Andulza, personally appeared Christine Nguyen personally known to me (~~or proved to me on the basis of satisfactory evidence~~) to be the person(s) whose name(s) is subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity(ies), and that by her signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Misti D. Fantozzi-Andulza  
Notary Signature

**DECLARATION - USA PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **PROSTHETIC HEART VALVE**; the specification of which was filed on **January 29, 2001** as Application Serial No. **09/772,526**.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56;

I hereby claim the benefit under Title 35, United States Codes § 119(e) of any United States provisional application(s) listed below.

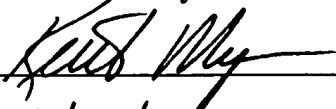
Application No.: 60/178,333

Filing Date: January 27, 2000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: **Keith Myers**

Inventor's signature



Date

8/24/01

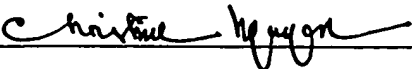
Residence: Lake Forest, California

Citizenship: **United States**

Post Office Address: 20412 James Bay Circle, Lake Forest, CA 92630

---

Full name of second inventor: **Christine Nguyen**

Inventor's signature 

Date 8/24/01

Residence: Garden Grove, California

Citizenship: **United States**

Post Office Address: 20412 James Bay Circle, Lake Forest, CA 92630

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Send Correspondence To:  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
Customer No. 20,995